

From: Mutual Societies [mailto:Mutual.Societies@fca.org.uk]

Sent: 09 August 2017 22:35

To: Charlotte Palmer <Charlotte.Palmer@enfield.gov.uk>

Cc: Mutual Societies <Mutual.Societies@fca.org.uk>

Subject: RE: Ponders End Smallholders Assoc, 82A Church Road, ENFIELD, EN3 4NU [SEC=OFFICIAL] 21831/17

Good evening

Thank you for your email.

The FCA is the registrar of the mutual societies rather than the regulator of those societies. We do not regulate societies like Ponders End. This society is registered under the Co-operative and Community Benefit Societies Act 2014 (the Act). To remain registered a society must submit their annual return and accounts in accordance with the Act and their rules. A society must also continue to meet their condition for registration.

The public register, found here, shows that the society has complied with the requirement to submit annual returns and accounts however the 2016 return is now overdue.

I hope this answers your query.

Many thanks

Rebecca English

Associate/ Mutualls Team / Authorisations Division

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To find out more about our registration functions for mutual societies visit:
<http://www.fca.org.uk/mutuals> or click here to view guidance on our registration function under the Co-operative and Community Benefit Societies Act 2014.

From: Charlotte Palmer [mailto:Charlotte.Palmer@enfield.gov.uk]

Sent: 01 August 2017 17:13

To: Mutual Societies

Subject: Ponders End Smallholders Assoc, 82A Church Road, ENFIELD, EN3 4NU [SEC=OFFICIAL]
21831/17

FAO Karley Warner

Dear Karley,

I write further to our conversation about the above named club and their pending licence review.

The applicant is applying to have the Club Premises Certificate suspended. They state that they want the certificate to be suspended until the association complies with Financial Conduct Authority regulations and can prove that it is a financially viable business capable of meeting its financial commitments, demonstrated by up to date account and a cash flow.

Are you able to send me an email confirming that the club is currently complying with the FCA regulations and that whether or not they are financial viable is not something that would affect their registration with the FCA please?

If so it would be very useful if I could include this in my evidence to assist the Licensing Sub Committee in making their decision.

Many Thanks

Charlotte Palmer

Senior Licensing Enforcement Officer

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